

JCO7 Rec'd PCT/PTO 28 DEC 2001

FORM PTO-1390 (REV 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEYS DOCKET NUMBER VX012397 PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/019402	
INTERNATIONAL APPLICATION NO. PCT/JP00/04337		INTERNATIONAL FILING DATE 6/30/00		PRIORITY DATE CLAIMED 7/2/99	
TITLE OF INVENTION COMPOSITIONS FOR FOODS, PROCESS FOR PRODUCING THE SAME, AND FUNCTIONAL FOODS AND DRINKS CONTAINING THE SAME					
APPLICANT(S) FOR DO/EO/US Hitoshi MATSUMOTO et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).					
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U. S.C. 371(c)(2))					
a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau).					
b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.					
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. <input checked="" type="checkbox"/> An English translation of the International Application into English (35 U.S.C. 371 (c)(2)).					
a. <input checked="" type="checkbox"/> is attached hereto.					
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)					
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).					
b. <input type="checkbox"/> have been communicated by the International Bureau.					
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.					
d. <input checked="" type="checkbox"/> have not been made and will not be made.					
8. <input type="checkbox"/> An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20. below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.					
14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.					
15. <input type="checkbox"/> A substitute specification.					
16. <input type="checkbox"/> A change of power of attorney and/or address letter.					
17. <input type="checkbox"/> A with computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.					
18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).					
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20. <input checked="" type="checkbox"/> Other items or information → International Search Report					

U.S. APPLICATION NO. (if known 37 CFR 1.5) NEW 10/019402	INTERNATIONAL APPLICATION NO. PCT/JP00/04337	ATTORNEYS DOCKET NUMBER VX012397 PCT
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21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO _____ \$1,040.00

☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO _____ \$890.00

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO _____ \$740.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) _____ \$710.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) _____ \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$	890.00
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Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	20 -20 =	0	X \$18.00
Independent claims	3 -3 =	0	X \$84.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00
TOTAL OF ABOVE CALCULATIONS =			\$ 890.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$
SUBTOTAL =			\$ 890.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ +
TOTAL NATIONAL FEE =			890.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property <input checked="" type="checkbox"/>			\$ + 40.00
TOTAL FEES ENCLOSED =			\$ 930.00
			Amount to be: refunded \$ charged \$

a. ☒ A check in the amount of \$ 890.00 & 40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 22-0256 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0256 . A duplicate copy of this sheet is enclosed.


d. ☐ Fees are to be charged free credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

DIRECT ALL CORRESPONDENCE TO:

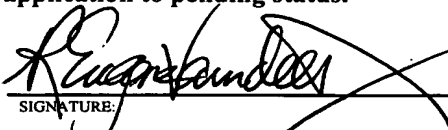
VARNDELL & VARNDELL, PLLC
 106-A SOUTH COLUMBUS ST.
 ALEXANDRIA, VA 22314
 (703) 683-9730

Customer Number → 021369



21369

PATENT & TRADEMARK OFFICE


 SIGNATURE:
R. Eugene Varndell, Jr.
 NAME
 29,728
 REGISTRATION NUMBER
 12/28/01
 DATE

10/019402

JC13 Rec'd PCT/PTO 28 DEC 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Hitoshi MATSUMOTO *et al.*

Serial No.: NEW

Based on International Application No.: PCT/JP00/04337

Filed: December 28, 2001 (herewith)

For: COMPOSITIONS FOR FOODS, PROCESS
FOR PRODUCING THE SAME, AND
FUNCTIONAL FOODS AND DRINKS
CONTAINING THE SAME

#4
AKD
4-11-02

CHANGE OF ADDRESS

Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

December 28, 2001

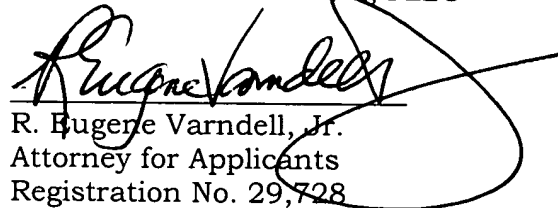
Dear Sir:

Effective immediately, please change both the correspondence and fee addresses
in this application to the following address:

VARNDELL & VARNDELL, PLLC
106-A South Columbus Street
Alexandria, VA 22314

Our telephone and facsimile numbers remain the same.

Respectfully submitted,
VARNDELL & VARNDELL, PLLC


R. Eugene Varndell, Jr.
Attorney for Applicants
Registration No. 29,728

Atty. Case No. VX012397 PCT
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